# Introduction to Information Retrieval IIR 1: Boolean Retrieval

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(Based on slides by Hinrich Schütze at informationretrieval.org)

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### Take-away

- Why you should take this course
- Admin issues
- Boolean Retrieval: Design and data structures of a simple information retrieval system
- What topics will be covered in this class?

# Administration Outline

- Administration
- 2 Introduction
- 3 Inverted index
- 4 Processing Boolean queries
- Query optimization
- Course overview

# Website and Syllabus

Administration

Website:

```
http://www.surdeanu.info/mihai/teaching/ista556-fall14/
```

Syllabus:

```
http://www.surdeanu.info/mihai/teaching/ista556-fall14/IR-syllabus.pdf
```

 See website and syllabus for: instructor information, time/location of class, textbook, grading policy

Administration Introduction Inverted index Processing Boolean queries Query optimization Course overview

## Prerequisites

- Be a decent programmer: ISTA 350 or equivalent
- Have a basic understanding of linear algebra: Math 215 (linear algebra), or at least Calc 2 and willingness to learn on your own.

Administration

# Prerequisites: does this look scary?

```
IntersectWithSkips(p_1, p_2)
      answer \leftarrow \langle \rangle
     while p_1 \neq \text{NIL} and p_2 \neq \text{NIL}
      do if docID(p_1) = docID(p_2)
             then ADD(answer, doclD(p_1))
  5
                    p_1 \leftarrow next(p_1)
                    p_2 \leftarrow next(p_2)
  6
  7
             else if doclD(p_1) < doclD(p_2)
  8
                      then if hasSkip(p_1) and (docID(skip(p_1)) \leq docID(p_2))
 9
                                then while hasSkip(p_1) and (docID(skip(p_1)) < docID(p_2))
10
                                       do p_1 \leftarrow skip(p_1)
11
                                else p_1 \leftarrow next(p_1)
12
                      else if hasSkip(p_2) and (docID(skip(p_2)) \leq docID(p_1))
                                then while hasSkip(p_2) and (docID(skip(p_2)) \leq docID(p_1))
13
14
                                       do p_2 \leftarrow skip(p_2)
15
                                else p_2 \leftarrow next(p_2)
16
      return answer
```

Administration

### s look scary!

$$||x||_2 = \sqrt{\sum_i x_i^2}$$

$$\cos(\vec{q}, \vec{d}) = \text{SIM}(\vec{q}, \vec{d}) = \frac{\vec{q} \cdot \vec{d}}{|\vec{q}||\vec{d}|} = \frac{\sum_{i=1}^{|V|} q_i d_i}{\sqrt{\sum_{i=1}^{|V|} q_i^2} \sqrt{\sum_{i=1}^{|V|} d_i^2}}$$

Dot product, matrix multiplication, Bayes rule

#### Other relevant UA courses

- Machine Learning (ISTA 421/521)
- Statistical Natural Language Processing (LING 439/539)
- Applied Natural Language Processing (ISTA 455/555)

# Choosing a programming language My recommendations

Scala

Administration

- Java
- Python
- C/C++

Introduction Inverted index Processing Boolean queries Query optimization Course overview

#### Java

Administration

#### Pros

- Pretty fast
- Probably the most common language for IR and NLP
- Clean exception handling
- Statically typed
- Garbage collection
- Several great IDEs

#### Cons

- Syntax too verbose
- Inconsistent semantics due to enforced backwards compatibility (primitive types vs. objects, equality, etc.)

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### Scala

Administration

#### Pros

- Pretty fast
- "Hot" language for IR, NLP, ML, distributed computing, web development
- Clean, transparent exception handling
- Clean, minimalist syntax
- Consistent semantics
- Statically typed
- Garbage collection
- At least one great IDE (IntelliJ)
- Fully compatible with Java (use all Java libraries)

#### Cons

- It has some "dark corners"
- Backwards compatibility not guaranteed

# Python

#### Pros

- Clean syntax
- Popular: many NLP/ML libraries exist
- Clean exception handling
- Cons
  - Slow
  - Dynamically typed
  - No great IDE

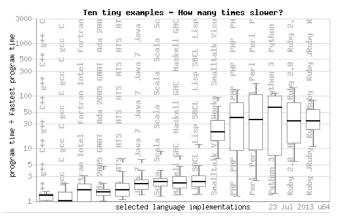


- Pros
  - As fast as it gets
- Cons
  - Too many to list

Boolean Retrieval

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## Comparison



#### More benchmarks:

http://benchmarksgame.alioth.debian.org/u64/benchmark.php?test=all&lang=all&data=u64

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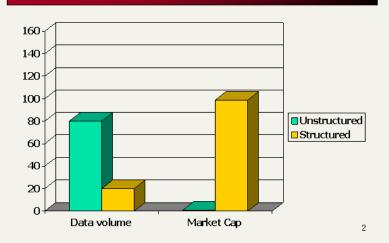
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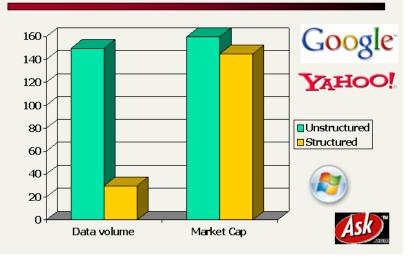
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# Unstructured (text) vs. structured (database) data in 1996



# Unstructured (text) vs. structured (database) data in 2006



# Companies using IR

- Google, Yahoo, Microsoft: search web, email, choose ads
- Facebook: search friends' posts, choose wall
- Twitter: search tweets
- HP Autonomy: enterprise search
- Pandora: music (!) search

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#### Boolean retrieval

- The Boolean model is arguably the simplest model to base an information retrieval system on.
- Queries are Boolean expressions, e.g., CAESAR AND BRUTUS
- The seach engine returns all documents that satisfy the Boolean expression.

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Does Google use the Boolean model?

- On Google, the default interpretation of a query  $[w_1 \ w_2]$  $\ldots w_n$ ] is  $w_1$  AND  $w_2$  AND  $\ldots$  AND  $w_n$
- Cases where you get hits that do not contain one of the w<sub>i</sub>:
  - anchor text
  - page contains variant of  $w_i$  (morphology, spelling correction, synonym)
  - long queries (n large)
  - boolean expression generates very few hits
- Simple Boolean vs. Ranking of result set
  - Simple Boolean retrieval returns matching documents in no particular order.
  - Google (and most well designed Boolean engines) rank the result set - they rank good hits (according to some estimator of relevance) higher than bad hits.

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## Unstructured data in 1650: Shakespeare



#### Unstructured data in 1650

- Which plays of Shakespeare contain the words Brutus and Caesar, but Not Calpurnia?
- One could grep all of Shakespeare's plays for Brutus and CAESAR, then strip out lines containing CALPURNIA.
- Why is grep not the solution?

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#### Unstructured data in 1650

- Which plays of Shakespeare contain the words Brutus and Caesar, but not Calpurnia?
- One could grep all of Shakespeare's plays for BRUTUS and CAESAR, then strip out lines containing CALPURNIA.
- Why is grep not the solution?
  - Slow (for large collections)
  - grep is line-oriented, IR is document-oriented
  - "NOT CALPURNIA" is non-trivial
  - Other operations (e.g., find the word ROMANS near COUNTRYMAN) not feasible

#### Term-document incidence matrix

	Anthony and	Julius Caesar	The Tempest	Hamlet	Othello	Macbeth	
	Cleopatra						
Anthony	1	1	0	0	0	1	
Brutus	1	1	0	1	0	0	
Caesar	1	1	0	1	1	1	
Calpurnia	0	1	0	0	0	0	
CLEOPATRA	1	0	0	0	0	0	
MERCY	1	0	1	1	1	1	
WORSER	1	0	1	1	1	0	

. .

Entry is 1 if term occurs. Example: CALPURNIA occurs in *Julius Caesar*. Entry is 0 if term doesn't occur. Example: CALPURNIA doesn't occur in *The tempest*.

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Cleopatra	1	0	0	0	0	0	
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#### Incidence vectors

- So we have a 0/1 vector for each term.
- To answer the query Brutus and Caesar and Not Calpurnia:

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- So we have a 0/1 vector for each term.
- To answer the query Brutus and Caesar and Not Calpurnia:
  - Take the vectors for BRUTUS, CAESAR, and CALPURNIA
  - Complement the vector of CALPURNIA
  - Do a (bitwise) AND on the three vectors
  - 110100 AND 110111 AND 101111 = 100100

# 0/1 vector for BRUTUS

	Anthony and	Julius Caesar	The Tempest	Hamlet	Othello	Macbeth	
	Cleopatra	Cacsai	rempest				
Anthony	1	1	0	0	0	1	
Brutus	1	1	0	1	0	0	
Caesar	1	1	0	1	1	1	
Calpurnia	0	1	0	0	0	0	
CLEOPATRA	1	0	0	0	0	0	
MERCY	1	0	1	1	1	1	
WORSER	1	0	1	1	1	0	
result:	1	0	0	1	0	0	

#### Answers to query

Anthony and Cleopatra, Act III, Scene ii

Agrippa [Aside to Domitius Enobarbus]: Why, Enobarbus,

When Antony found Julius Caesar dead, He cried almost to roaring; and he wept When at Philippi he found Brutus slain.

Hamlet, Act III, Scene ii

Lord Polonius:

I did enact Julius Caesar: I was killed i' the Capitol; Brutus killed me.

### Bigger collections

- Consider  $N = 10^6$  documents, each with about 1000 tokens
- $\bullet \Rightarrow$  total of  $10^9$  tokens
- On average 6 bytes per token, including spaces and punctuation  $\Rightarrow$  size of document collection is about  $6\cdot 10^9=6~\text{GB}$
- Assume there are M = 500,000 distinct terms in the collection
- (Notice that we are making a term/token distinction.)

#### Can't build the incidence matrix

- $M = 500.000 \times 10^6 = \text{half a trillion 0s and 1s.}$
- But the matrix has no more than one billion 1s.
  - Matrix is extremely sparse.
- What is a better representations?
  - We only record the 1s.

#### Inverted Index

For each term t, we store a list of all documents that contain t.

dictionary postings

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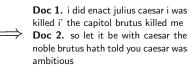
#### Inverted index construction

- Collect the documents to be indexed:Friends, Romans, countrymen. So let it be with Caesar . . . .
- Tokenize the text, turning each document into a list of tokens:
  Friends Romans countrymen So . . .
- Do linguistic preprocessing, producing a list of normalized tokens, which are the indexing terms: friend roman countryman so . . .
- Index the documents that each term occurs in by creating an inverted index, consisting of a dictionary and postings.

# Tokenization and preprocessing

**Doc 1.** I did enact Julius Caesar: I was killed i' the Capitol; Brutus killed me.

**Doc 2.** So let it be with Caesar. The noble Brutus hath told you Caesar was ambitious:



# Generate postings

did enact iulius caesar was killed the capitol brutus killed me SO let it be with caesar the noble brutus hath told you caesar was ambitious

docID term

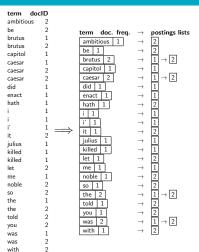
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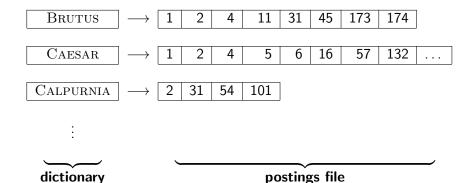
# Sort postings



# Create postings lists, determine document frequency



# Split the result into dictionary and postings file



#### Later in this course

- Index construction: how can we create inverted indexes for large collections?
- How much space do we need for dictionary and index?
- Index compression: how can we efficiently store and process indexes for large collections?
- Ranked retrieval: what does the inverted index look like when we want the "best" answer?

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# Simple conjunctive query (two terms)

- Consider the guery: BRUTUS AND CALPURNIA
- To find all matching documents using inverted index:
  - Locate Brutus in the dictionary
  - Retrieve its postings list from the postings file
  - Second Calpurnia in the dictionary
  - Retrieve its postings list from the postings file
  - Intersect the two postings lists
  - Return intersection to user

BRUTUS 
$$\longrightarrow$$
 1  $\longrightarrow$  2  $\longrightarrow$  4  $\longrightarrow$  11  $\longrightarrow$  31  $\longrightarrow$  45  $\longrightarrow$  173  $\longrightarrow$  174

CALPURNIA  $\longrightarrow$  2  $\longrightarrow$  31  $\longrightarrow$  54  $\longrightarrow$  101

Intersection  $\Longrightarrow$ 

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# Intersecting two postings lists

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• This is linear in the length of the postings lists.

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- This is linear in the length of the postings lists.
- Note: This only works if postings lists are sorted.

```
INTERSECT(p_1, p_2)
       answer \leftarrow \langle \ \rangle
       while p_1 \neq \text{NIL} and p_2 \neq \text{NIL}
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              then ADD(answer, doclD(p_1))
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  5
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              else if docID(p_1) < docID(p_2)
  8
                         then p_1 \leftarrow next(p_1)
  9
                         else p_2 \leftarrow next(p_2)
 10
       return answer
```

# Query processing: Exercise

FRANCE 
$$\longrightarrow$$
 1  $\longrightarrow$  2  $\longrightarrow$  3  $\longrightarrow$  4  $\longrightarrow$  5  $\longrightarrow$  7  $\longrightarrow$  8  $\longrightarrow$  9  $\longrightarrow$  11  $\longrightarrow$  12  $\longrightarrow$  13  $\longrightarrow$  14  $\longrightarrow$  15

PARIS  $\longrightarrow$  2  $\longrightarrow$  6  $\longrightarrow$  10  $\longrightarrow$  12  $\longrightarrow$  15

LEAR  $\longrightarrow$  12  $\longrightarrow$  15

Compute hit list for ((paris AND NOT france) OR lear)

# Boolean queries

 The Boolean retrieval model can answer any query that is a Boolean expression.

- Boolean queries are queries that use AND, OR and NOT to join query terms.
- Views each document as a set of terms.
- Is precise: Document matches condition or not.
- Primary commercial retrieval tool for 3 decades
- Many professional searchers (e.g., lawyers) still like Boolean queries.
  - You know exactly what you are getting.
- Many search systems you use are also Boolean: spotlight, email, intranet etc.

### Commercially successful Boolean retrieval: Westlaw

- Largest commercial legal search service in terms of the number of paying subscribers
- Over half a million subscribers performing millions of searches a day over tens of terabytes of text data
- The service was started in 1975.
- In 2005, Boolean search (called "Terms and Connectors" by Westlaw) was still the default, and used by a large percentage of users . . .
- ...although ranked retrieval has been available since 1992.

### Westlaw: Example queries

Information need: Information on the legal theories involved in preventing the disclosure of trade secrets by employees formerly employed by a competing company

Query: "trade secret" /s disclos! /s prevent /s employe!

### Westlaw: Example queries

*Information need:* Requirements for disabled people to be able to access a workplace

Query: disab! / p access! / s work-site work-place (employment /3 place)

# Westlaw: Example queries

Information need: Cases about a host's responsibility for drunk guests

Query: host! /p (responsib! liab!) /p (intoxicat! drunk!) /p guest

### Westlaw: Comments

- Proximity operators: /3 = within 3 words, /s = within a sentence, /p = within a paragraph
- Space is disjunction, not conjunction! (This was the default in search pre-Google.)
- Long, precise queries: incrementally developed, not like web search
- Why professional searchers often like Boolean search: precision, transparency, control
- When are Boolean queries the best way of searching? Depends on: information need, searcher, document collection, ...

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- Consider a query that is an AND of n terms, n > 2
- For each of the terms, get its postings list, then AND them together
- Example query: Brutus AND Calpurnia AND Caesar
- What is the best order for processing this query?

• Example query: Brutus AND Calpurnia AND Caesar

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- Simple and effective optimization: Process in order of increasing frequency

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lex Processing Boolean queries Query optimization Course

# Query optimization

- Example query: Brutus AND Calpurnia AND Caesar
- Simple and effective optimization: Process in order of increasing frequency
- Start with the shortest postings list, then keep cutting further
- In this example, first CAESAR, then CALPURNIA, then BRUTUS

Brutus 
$$\longrightarrow$$
  $1 \longrightarrow 2 \longrightarrow 4 \longrightarrow 11 \longrightarrow 31 \longrightarrow 45 \longrightarrow 173 \longrightarrow 174$ 

Calpurnia  $\longrightarrow$   $2 \longrightarrow 31 \longrightarrow 54 \longrightarrow 101$ 

Caesar  $\longrightarrow$   $5 \longrightarrow 31$ 

```
INTERSECT(\langle t_1, \ldots, t_n \rangle)
     terms \leftarrow \text{SORTByIncreasingFrequency}(\langle t_1, \dots, t_n \rangle)
     result \leftarrow postings(first(terms))
     terms \leftarrow rest(terms)
     while terms \neq NIL and result \neq NIL
     do result \leftarrow INTERSECT(result, postings(first(terms)))
 6
          terms \leftarrow rest(terms)
     return result
```

# More general optimization

- Example query: (MADDING OR CROWD) AND (IGNOBLE OR STRIFE)
- Get frequencies for all terms
- Estimate the size of each OR by the sum of its frequencies (conservative)
- Process in increasing order of OR sizes

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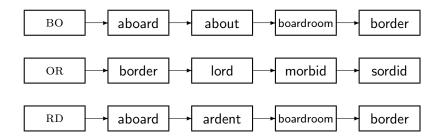
### Course overview

- We are done with Chapter 1 of IIR (IIR 01).
- Plan for the rest of the semester: 18–20 of the 21 chapters of IIR
- In what follows: teasers for most chapters to give you a sense of what will be covered.

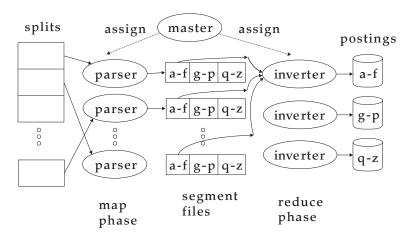
# IIR 02: The term vocabulary and postings lists

- Phrase queries: "STANFORD UNIVERSITY"
- Proximity queries: GATES NEAR MICROSOFT
- We need an index that captures position information for phrase queries and proximity queries.

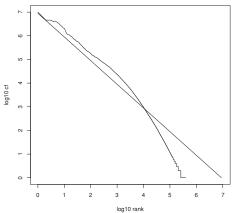
### IIR 03: Dictionaries and tolerant retrieval



### IIR 04: Index construction



# IIR 05: Index compression



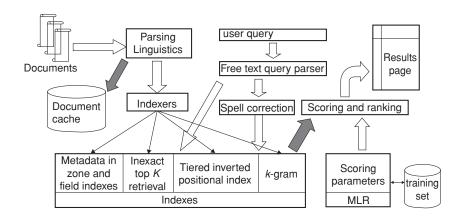
Zipf's law

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# IIR 06: Scoring, term weighting and the vector space model

- Ranking search results
  - Boolean queries only give inclusion or exclusion of documents.
  - For ranked retrieval, we measure the proximity between the query and each document.
  - One formalism for doing this: the vector space model
- Key challenge in ranked retrieval: evidence accumulation for a term in a document
  - 1 vs. 0 occurrence of a query term in the document
  - 3 vs. 2 occurences of a query term in the document
  - Usually: more is better
  - But by how much?
  - Need a scoring function that translates frequency into score or weight

# IIR 07: Scoring in a complete search system



# IIR 08: Evaluation and dynamic summaries



manitoba second largest city

Search

Advanced Search

Web Show options...

Results 1 - 10

#### Manitoba - Wikipedia, the free encyclopedia

Manitoba's capital and largest city, Winnipeg, .... According to Environment Canada, Manitoba ranked first for clearest skies year round, and ranked second ...

Geography - History - Demographics - Economy

en.wikipedia.org/wiki/Manitoba - Cached - Similar

#### List of cities in Canada - Wikipedia, the free encyclopedia

Cities and towns in Manitoba. See also: List of communities in Manitoba .... Dartmouth formerly the second largest city in Nova Scotia, now a Metropolitan ... en.wikipedia.org/wiki/List of cities in Canada - Cached - Similar

Show more results from en.wikipedia.org

#### Canadian Immigration Information - Manitoba

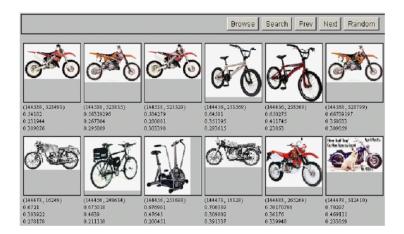
The largest city in the province is the capital, Winnipeg, with a population exceeding 706900. The second largest city is Brandon. Manitoba has received ...

www.canadavisa.com/about-manitoba.html - Cached - Similar

#### CBC Manitoba | EAL

Lesson 57: Brandon - Manitoba's Second Largest City. For Teachers; For Students. Step One Open the Lesson: PDF (194kb) PDF WORD (238kb) Microsoft Word ... www.cbc.ca/manitoba/.../lesson-57-brandon---manitobas-second-largest.html - Cached

# IIR 09: Relevance feedback & query expansion



# P(R|d,q) is modeled using term incidence vectors as $P(R|\vec{x},\vec{q})$

$$P(R = 1|\vec{x}, \vec{q}) = \frac{P(\vec{x}|R = 1, \vec{q})P(R = 1|\vec{q})}{P(\vec{x}|\vec{q})}$$

$$P(R = 0|\vec{x}, \vec{q}) = \frac{P(\vec{x}|R = 0, \vec{q})P(R = 0|\vec{q})}{P(\vec{x}|\vec{q})}$$

- $P(\vec{x}|R=1,\vec{q})$  and  $P(\vec{x}|R=0,\vec{q})$ : probability that if a relevant or nonrelevant document is retrieved, then that document's representation is  $\vec{x}$
- Use statistics about the document collection to estimate these probabilities

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# IIR 12: Language models



W	$P(w q_1)$	W	$P(w q_1)$
STOP	0.2	toad	0.01
the	0.2	said	0.03
а	0.1	likes	0.03 0.02 0.04
frog	0.01	that	0.04

This is a one-state probabilistic finite-state automaton – a unigram language model – and the state emission distribution for its one state  $q_1$ .

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# IIR 12: Language models



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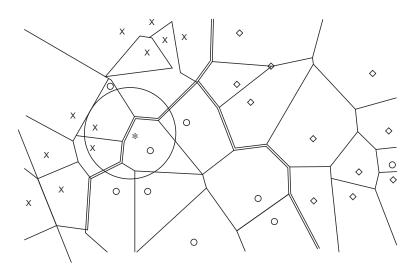
frog said that toad likes frog STOP

 $P(\text{string}) = 0.01 \cdot 0.03 \cdot 0.04 \cdot 0.01 \cdot 0.02 \cdot 0.01 \cdot 0.2$ = 0.0000000000048

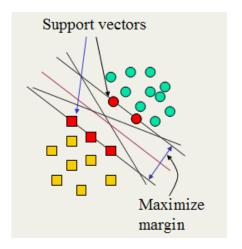
## IIR 13: Text classification & Naive Bayes

- Text classification = assigning documents automatically to predefined classes
- Examples:
  - Language (English vs. French)
  - Adult content
  - Region

## IIR 14: Vector classification

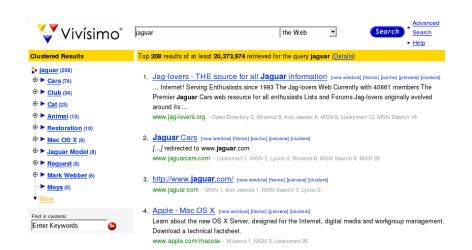


## IIR 15: Support vector machines (possibly skipped)



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## IIR 16: Flat clustering



## IIR 17: Hierarchical clustering

## http://news.google.com



### Barack Obama, Vladimir Putin hold first in-person talk since start of Ukraine ...

Canada.com - 6 hours ago

A video screen shows U.S. President Barack Obama and Russian President Vladimir Putin during the Ouistreh; commemorate the 70th anniversary of the Allied invasion at Normandy, in Ouistreham, France, June ...

### Obama and Vladimir Putin dine separately with French President François ...

New York Daily News - 10 hours ago

French President Francois Hollande engaged in some deft dinnertime diplomacy Thursday night - hosting separate meals so Pi Vladimir Putin wouldn't have to break bread together. Hollande and Obama had dinner at ...



### Topless feminist stabs wax Putin in France

The Local.fr - Jun 5, 2014

The same day President Vladimir Putin was to arrive in France for D-Day anniversary events, radical feminist p

### Vladimir Putin meets Ukraine president-elect Petro Poroshenko at D-Day ...

Telegraph.co.uk - 9 hours ago

Russian President Vladimir Putin and Ukraine's president-elect Petro Poroshenko discussed a ceasefire and other possible ste countries in a brief but potentially significant meeting in France on Friday, French ...



Vote Possible to Get Stalingrad Name Back: Vladimir Putin

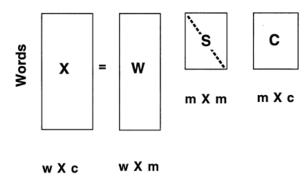
NDTV - 2 hours ago

Russian President Vladimir Putin speaks to the media at Benouville castle, Friday, June 6, 2014, where he arriv landings.

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## IIR 18: Latent Semantic Indexing

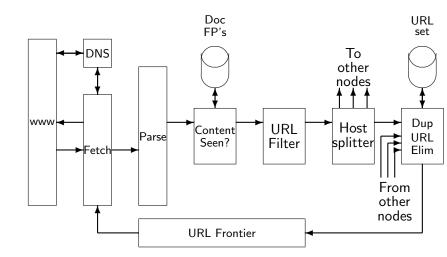
## Contexts

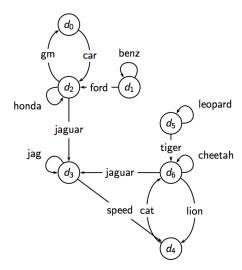


## IIR 19: The web and its challenges

- Unusual and diverse documents
- Unusual and diverse users and information needs
- Beyond terms and text: exploit link analysis, user data
- How do web search engines work?
- How can we make them better?

## IIR 20: Crawling



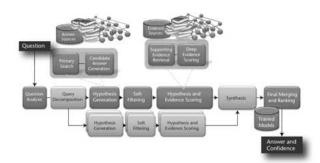


# If time permits: Evolution of the Google IR System



## If time permits:

## Building Watson: An Overview of the DeepQA Project



# If time permits: Introduction to computational advertising

many benefits photographers, from beginners to professionals, will ...

### News for canon camera



Canon launches photo saving and sharing platform in the ...

New York Daily News - 5 hours ago Called Irista. Canon's new cloud system launches this week in Europe ... However, if a picture has been snapped on a Canon

### More news for canon camera

### Amazon.com: Canon

www.amazon.com/Canon-Camera-Photo/b?ie=UTF8... \* Amazon.com \* Canon EOS 6D 20.2 MP CMOS Digital SLR Camera with 3.0-Inch... Canon. \$1,899.00 \$1,699.00. Canon FOS. 3. Canon FOS Rebel T3 12.2 MP CMOS Digital ...

Images for canon camera





More images for canon camera

Canon Camera Reviews - Canon Cameras www.imaging-resource.com/MFR1.HTM?view=Canon... \* Imaging Resource \* One of the old-line global leaders in the photo industry. Canon cameras cover the range from entry-level point & shoot models to high-end professional SLRs at ...

### Canon Camera www.bestbuy.com/ \*

4.5 ★★★★ rating for bestbuy.com Full Selection of Cameras Plus Free Shipping, Shop Now & Save! 9 575 E Wetmore Rd, Tucson, AZ (520) 696-3442

### Canon Cameras at Sears® www.sears.com/Canon.Cameras. \*

4.4 ★★★★ rating for sears.com Visit Sears® for Great Values and a Big Selection of Canon Cameras! 9 4570 N Oracle Rd. Tucson, AZ (520) 690-2090

### Canon CAMERA

www.bhohotovideo.com/FreeShip \* 4.9 \*\*\* \* advertiser rating Save on Professional Camcorders EOS-1D C Camera (Body Only)

### Canon Camera

www.hsn.com/ \* 44 \*\*\* rating for han com Save \$150+ Today on Canon® Cameras & Accessories. Free Shipping at HSN

## Câmera Canon at Amazon

www.amazon.com/Cameras \* Save on Câmera canon Free Shipping Available with Amazon

2014 Best Canon Camera

## Take-away

- Why you should take this course
- Admin issues
- Boolean Retrieval: Design and data structures of a simple information retrieval system
- What topics will be covered in this class?